



Responsible Business Alliance Annual Conference

October 30–31, 2018
Santa Clara Convention Center
Santa Clara, California

AGENDA

Tuesday, October 30, 2018

7:30–8:30 a.m. **Registration and Check-In**
Mission City Foyer

8:30–9:00 a.m. **Welcome and Introduction**
Mission City B2–B5

Rob Lederer, Executive Director, RBA
Paula Pyers, RBA Board Chair, and Senior Director, Supplier Responsibility, Apple Inc.

9:00–10:00 a.m. **Keynote**
Mission City B2–B5

Barbara Annis, CEO of Gender Intelligence Group

10:00–10:45 a.m. **Senior Business Leaders: Future Trends and Challenges**
Mission City B2–B5

Expectations are high for companies to sustainably manage their supply chains. Technological advancements, international standards, calls for more transparency, greater scrutiny by NGOs, regulatory bodies and civil society are just some of examples of the trends and challenges facing today's international business community. This high-level panel will provide insights into the latest trends and challenges facing companies as they navigate the ever-changing CSR environment and how they are addressing these emerging issues. Comments will be matched to the overall growth and expectations from key founding member companies.

Moderator:

Paula Pyers, RBA Board Chair, and Senior Director, Supplier Responsibility, Apple Inc.

Speakers:

Louis Ferretti, Project Executive, Supply Chain Risk and Environmental Compliance, IBM

Judy Glazer, Global Head of Sustainability and Product Compliance, HP, Inc.

Eric Austermann, Vice President, SER, Jabil

Kathrin Belliveau, SVP, Global Government, Regulatory Affairs and Corporate Social Responsibility, Hasbro

10:45–11:15 a.m. **Coffee Break**
Sponsored by: Greenment



11:15–12:15 p.m. **Implementing Responsible Business Conduct: Public-Private Collaboration**

Mission City B2–B5

Around the world there is a growing expectation for companies to respect human rights, labor rights, the environment and business ethics in their operations and throughout their supply chain. There are increasing international frameworks on responsible business conduct as well as national legislation to address serious harms such as forced labor. The proliferation of frameworks and regulations related to supply chain due diligence results in a daunting challenge for many companies. How can companies operationalize international frameworks and regulations and address these complicated issues? What are some innovative approaches and partnerships that could be scaled?

Moderator:

Rob Lederer, Executive Director, RBA

Speakers:

Dante Pesce, Vincular Center at Catholic University of Valparaiso Chile and Chair of the UN Working Group on Business and Human Rights

Wael Issa, Senior Advisor in the Office of the Deputy Director-General for Policy, International Labour Organization

Cristina Tébar Less, Head, Responsible Business Conduct (RBC) Unit, Investment Division, Directorate for Financial and Enterprise Affairs, OECD

Dr. Geoffrey Shaw, Ambassador for People Smuggling and Human Trafficking, Australian Department of Foreign Affairs and Trade

12:15–12:30 p.m. **Hand in Hand to Promote Innovation and Development of Social Responsibility**

Mission City B2–B5

(携手推进社会责任创新与发展)

Guo Xiuming, China Electronics Standardization Association, Executive Deputy Director

12:30–1:15 p.m.

Lunch

Sponsored by: Assent Compliance



1:15–2:00 p.m.

Concurrent Sessions

FORCED LABOR Room 209/210	ENVIRONMENT Room 203/204	COMPLIANCE Mission City B2 – B5
<p>Forced Labor: Step up to the Challenge of Implementing Due Diligence in Your Supply Chain</p> <p>Join us for an in-depth exploration of the global reality, compliance requirements and community of practice progress related to addressing human trafficking and forced labor in international supply chains. We will kick off the Forced Labor Track with a compelling keynote speech.</p> <p>Speaker: Dr. Geoffrey Shaw, Ambassador for People Smuggling and Human Trafficking, Australian Department of Foreign Affairs and Trade</p>	<p>Beyond Human-Centered Design</p> <p>In this session, Prasad Boradkar will discuss the limitations of human-centered design, and ideas for a more holistic and inclusive form of design. Can we design products and services keeping in mind not only people, but also other species and entire ecosystems? If we imagine design to be life-centered rather than human-centered, we might have a new framework by which to practice responsible innovation.</p> <p>Speaker: Prasad Boradkar, Staff Industrial Designer, Google</p>	<p>Advancing the Code of Conduct</p> <p>Join us for a discussion on the RBA Code of Conduct 6.0 including new VAP offerings, opportunities for recognition, and the Factory Lead Program.</p> <p>Speaker: Jonathan Ivelaw-Chapman, Chief Operating Officer, RBA</p>

2:00–3:00 p.m.
Concurrent
Sessions

Responsible Labor Initiative (RLI) Advisory Board Fireside Chat

Multi-stakeholder governance is growing increasingly critical to the credibility and success of social and environmental agendas. Come hear from the RLI’s diverse Advisory Board on the power of collective influence to move global markets toward ethical recruitment and employment.

Moderator:
Annukka Dickens, Director, Human Rights & Supply Chain Responsibility, HP Inc.

Speakers:
Pawel Szalus, IRIS Program Manager, International Organization for Migration
Ruth Freedom Pojman, Senior Advisor, Global Fund to End Modern Slavery
Anna Pienaar, Vice President, Global Partnerships, International Justice Mission (IJM)
David Segal, Policy Associate/Research Scholar, NYU Stern Center for Business and Human Rights
David Schilling, Senior Program Director - Human Rights & Resources, Interfaith Center on Corporate Responsibility (ICCR)

Perspectives to Scaling a Decoupled Economy

The opportunities, challenges, and approaches of participating in a circular economy can be influenced by a company’s products, tier, and stakeholders. Come hear the strategies and lessons-learned from different companies embracing circularity, and leveraging it in their products and business offerings.

Moderator:
Dan Reid, Environmental Program Manager, RBA

Speakers:
Balan Shanmuganathan, Senior Director of Sustainability, Seagate Technology
Christy Abizaïd, Director of Supply Chain Sustainability, Dell
Abbey Burns, Supply Chain Sustainability Program Manager, Cisco

VAP 200 Club – Factories with a Platinum Award

The VAP was conducted at over 800 factories in 2017. Only a handful endeavored to reach a perfect score of 200, and received Platinum-Level Recognition. The effort to achieve this level of performance to the RBA Code is worth sharing. Hear from multiple RBA members who have worked together to achieve this level of recognition. The panelists will share the challenges and rewards of their efforts.

Moderator:
Ken Anderson, Director of Information Technology, RBA

Speakers:
Carmen Ramirez, Director CSR, Flex
Sayed Naimi, Senior Manager RBA Compliance, Micron Technology
Romi Lessig, Program Consultant Sustainability, Dell
Staci O’Connell, EHS Manager, On-Semiconductor

3:00–3:30 p.m.

Coffee Break
Sponsored by: Intel



3:30–4:30 p.m.
Concurrent
Sessions

FORCED LABOR
Room 209/210

The Role of Government and Public-Private Cooperation in Driving Solutions to Human Trafficking and Forced Labor

Cooperation between governments, stakeholders and industry is critical to addressing a problem as complex as trafficked and forced labor. During this session we will explore the growing regulatory requirements, government resources and public-private efforts to affect change in global markets.

Moderator:
Carlos Busquets, Director Public Policy, RBA

Speakers:
Michael Kourteff, Acting Director, Regional Engagement, People Smuggling and Human Trafficking,

ENVIRONMENT
Room 203/204

Creating Shared Value and Efficiencies in E-Waste Processing

Although circularity promises immense benefits, the required processes and movements of materials can pose significant environmental and health hazards to the workers and communities that rely on e-waste recycling. The discussion will explore how businesses, coalitions like the RBA, governments and communities can mitigate e-waste generation and redirect materials toward safe recycling and processing, so that everyone benefits from circularity.

Speakers:
Dr. Richard Neitzel, University of Michigan School of Public Health

COMPLIANCE
Mission City B2 – B5

RBA’s Response to Public Procurement Practices

RBA has long been at the intersection of public buyers, member companies, and social compliance. In 2014, the EU Directive on Public Procurement was released. EU member states are now in the process of implementing this directive. Learn more about how the RBA is supporting members to meet these public procurement requirements.

Moderator:
Deborah Albers, Vice President, RBA

Speakers:
Soren Enholm, CEO, TCO Development
Andreas Rydell, Chief Sustainability Officer, Atea ASA
Filip Engholm, Sustainability Specialist Supply Chain, Atea ASA

	<p><i>Australian Department of Foreign Affairs and Trade</i> Rachel Rigby, Deputy Division Chief, Research and Policy, US Department of Labor, International Labor Affairs Bureau (ILAB) Wael Issa, Senior Advisor in the Office of the Deputy Director-General for Policy, International Labour Organization Leigh Anne DeWine, Senior Advisor, Office to Monitor and Combat Trafficking in Persons, US Department of State</p>	<p>Lauren Joseph, Electronics Industry Global Leadership Fellow, World Economic Forum Dan Reid, Environmental Program Manager, RBA</p>	<p>Bjorn Claesson, Director, Electronics Watch Pamela Brody-Heine, Director of Standards, Green Electronics Council</p>
<p>4:30–5:30 p.m. Concurrent Sessions</p>	<p>Roundtables on Public Private Engagement</p> <p>Join us for a unique opportunity to have a two-way dialogue with government officials on the power, challenges and opportunities of shared efforts on modern slavery. Facilitated by experts in the field, these small group sessions will explore a number of topics, including:</p> <ul style="list-style-type: none"> • Untapped potential for public-private cooperation • Harmonization of regulatory instruments • Government-sponsored guidance and resources • The role of Intergovernmental Organizations (IGOs) in furthering due diligence 	<p>Identifying Challenges and Finding Solutions to the Circular Economy</p> <p>In small groups facilitated by topic specialists, attendees will develop problem statements, identify potential avenues for success, and suggest next steps for various aspects of a circular economy, including:</p> <ul style="list-style-type: none"> • E-Waste • Biocentric Design • Design for Reuse • and more 	<p>Evolving Approaches to Risk Assessment</p> <p>Are you confident that you are effectively determining risk in your supply chain? Learn from industry representatives on their evolving methods for evaluating risk as early as possible. Our panelists will discuss their methods for helping determine hot spots for global risk in their supply chain. Please join us in a deep-dive discussion on this exciting topic.</p> <p>Moderator: Ken Anderson, Director of Information Technology, RBA</p> <p>Speakers Kevin Franklin, Senior VP, Elevate Global Antoine Heuty, Founder and CEO, Ulula Mary Ellen Bridges, Purchasing Manager Ford Motor Company</p>

5:30–7:00 p.m.
Mission City B1

Masquerade Reception
Sponsored by: ERM



Guest are encouraged to dress up!



Wednesday, October 31, 2018

8:00–9:00 a.m. **Registration and Check-In**
Mission City Foyer

9:00–10:30 a.m. **Wellness in the Workplace - Best Practices**
Mission City B2–B5

There are hundreds of thousands, if not millions, of workers in the supply chains of RBA members. The health and wellness of those workers is top of mind for our members. One of the outputs to the Leadership Circle 2018 was a focus on Worker Quality of Life. The RBA launched a new initiative on worker health and, in line with that effort, we will discuss best practices on how companies advance wellness at work. Our panel covers all levels of the supply chain, with stories from well-known companies.

Moderator:

Deborah Albers, Vice President, RBA

Speakers:

Eric Austermann, Vice President, SER, Jabil

Joan K Motsinger, Senior Vice President, Business Excellence, Seagate Technology, LLC

Franky Mo, Director, Supplier Development – Supplier Responsibility, Apple

Daniel Fibiger, Director, Global Sustainability, Gap Inc.

10:30–11:00 a.m. **Coffee Break**
Sponsored by: BSI Group



11:00 –12:30 p.m.
Concurrent Sessions

FORCED LABOR Ballroom G	ENVIRONMENT Ballroom H	COMPLIANCE Mission City B2 – B5
<p>Welcome and Summary of Roundtable Report Outs</p> <p>Speakers: Bob Mitchell, Vice President, RBA Roundtable Moderators</p> <p>Progress, Challenges and Opportunities in Implementing Anti-Trafficking and Forced Labor Standards in Supply Chains</p> <p>Progressive companies have made significant strides in addressing the potential for forced labor in international supply chains, including advanced policies and management systems, investment in ethical employment and recruitment programs, and increased levels of transparency. However, they have</p>	<p>Circularity Closing Discussion and Roundtable Report Outs</p> <p>Joost de Kluijver from Closing the Loop will present the innovative approaches and strategies available to companies to increase their engagement in the circular economy. The conference’s circularity theme will conclude with a report out from the previous day’s roundtable discussions.</p> <p>Speakers: Joost de Kluijver, Director, Closing the Loop Dan Reid, Environmental Program Manager, RBA Roundtable Facilitators</p>	<p>Using Data to manage CSR Challenges</p> <p>This session will explore the use of large data sets to find trends in global CSR challenges. Our panelists of industry experts will discuss how they collect data, how it is mined for valuable information, and how they use the analysis to develop plans for mitigating risks. They will also share examples of the trends they have recently uncovered from their data sets, and the actions taken in response to the findings.</p> <p>Moderator: Jonathan Ivelaw-Chapman, Chief Operating Officer, RBA</p>

also faced challenges along the way. Join a moderated session that will explore the lessons learned from leading private sector companies in this area, as well as potential paths forward for accelerating progress.

Moderator:

Bob Mitchell, Vice President, RBA

Speakers:

Doug Nystrom, Director, Human Rights – Supply Chain Responsible Sourcing

Mike McDonnell, Senior Manager, Supply Chain Sustainability, Intel Corporation

Cimarron Nix, Director of Labor, Sustainable Manufacturing & Sourcing, Nike

Perspectives in Environmental Sustainability

This presentation and discussion will launch the day’s environmental track focusing on how environmental metrics and practices have matured in the industry, and how they can be leveraged for the next generation of topics. Lou Ferretti will provide reflections on his leadership in environmental compliance at IBM, and discuss how industry influences, priorities, and performance has shifted over time, and where it might be heading in the future.

Speaker:

Louis Ferretti, Project Executive, Supply Chain Risk and Environmental Compliance, IBM

Speakers:

Marco Baren, Head of Supplier Quality, Supplier Development, Supplier Sustainability, Royal Phillips

John Gabriel, Manager, Supply Chain Social Responsibility, IBM

Ken Anderson, Director of Information Technology, RBA

12:30–2:00 p.m.

Lunch

2:00–3:00 p.m.
Concurrent Sessions

FORCED LABOR Ballroom G	ENVIRONMENT Ballroom H	RESPONSIBLE MINERALS INITIATIVE Mission City B2 – B5
<p>Transparency in Forced Labor Due Diligence</p> <p>In September 2018, the RLI and the Global Reporting Initiative (GRI) launched a taskforce on Modern Slavery Reporting in order to develop resources to assist companies in meeting international and local disclosure requirements related to forced labor due diligence. Come learn more about this project and the potential for creating harmonization and progress in transparency and impact.</p> <p>Moderator: Simon Warren, Manager, Corporate & Stakeholder Engagement, Global Reporting Initiative (GRI)</p> <p>Speakers: Graeme Elder, Senior Director of Social Responsibility, Jabil Alexandra Cech, Human Rights and Supply Chain Social Responsibility Program Manager, Hewlett Packard Enterprise</p>	<p>Benefits of Collaborative Process Chemical Data Management</p> <p>Companies track and measure chemicals used within their own supply chains and operations in order to meet a litany of regulations and health and safety standards. But does this data have a potentially greater value throughout the industry? Challenging to obtain and sensitive to share, this panel will discuss the value of analyzing process chemical data and avenues for industry collaboration.</p> <p>Moderator: Tanvi Kafi, Supply Chain Responsibility Program Manager, HP Inc.</p> <p>Speakers: Sören Enholm, CEO, TCO Development Steve Brown, Senior Technical Fellow, Clean Electronics Production Network</p>	<p>2:00–2:30 p.m.:</p> <p>Responsible Minerals Initiative Conference Welcome</p> <p>RMI Overview, Updates and Celebrating 10 Years</p> <p>Speakers: Leah Butler, Vice President, RBA</p> <p>2:30–3:30 p.m.:</p> <p>Responsible Business Conduct and Minerals Due Diligence</p> <p>Building on the conflict minerals experience, this session will consider the broader context of responsible mineral sourcing and responsible business conduct, which drives businesses to contribute to sustainable development and avoid and address negative impacts on people and the environment, not only in their own operations but also in their supply chains. What does “responsible mineral sourcing” and “responsible business conduct” mean to your company or sector and how are the concepts implemented in practice? Examples from apparel/retail, telecommunications, electronics and mining.</p> <p>Moderator:</p>

			<p>Cristina Tébar Less, Head, Responsible Business Conduct Unit, OECD</p> <p>Speakers: Eric-Paul Schat, Senior Director, Sustainability, Environmental Health & Safety, NXP Semiconductors Jeff Hanman, Vice President of Corporate Affairs, Teck Resources Limited Amanda Chiampi, Director, Global Human Rights and Supply Chain Sustainability, AT&T Ryan Lynch, Head of Corporate Social Responsibility, BSI Group</p>
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<p>3:00-4:00 p.m. Concurrent Sessions</p>	<p>Risks Related to North Korean Workers: Understanding Requirements Under CAATSA</p> <p>The Countering America's Adversaries Through Sanctions Act (CAATSA) was signed into law on August 2, 2017, creating notable due diligence requirements for companies importing goods into the U.S. During this session, we will discuss the requirements and the profile of North Korean workers abroad, and explore practical implementation for the private sector.</p> <p>Moderator: Carlos Busquets, Director of Public Policy, RBA</p> <p>Speakers: Ken Kennedy, Senior Policy Advisor, Forced Labor Programs, U.S. Immigration and Customs Enforcement Rosa Park, Director of Programs, Committee for Human Rights in North Korea (HRNK) Sharlina Hussain-Morgan, Foreign Service Officer, US Department of State</p>	<p>Data-Driven Environmental and Business Value</p> <p>From every product, process and supply chain tier, environmental data has become ubiquitous in the fabric of the industry. Can it be used for more than assuring compliance and assessing risk? This panel will discuss how data can be leveraged as a differentiator and create business value for all tiers of the supply chain.</p> <p>Moderator: John Kinsella, Senior Partner, ERM</p> <p>Speakers: Sherry Mueller, Supply Chain Sustainability, Ford Motor Company Samy Hotimsky, Head Sustainability & Continuity Planning, Infineon Technologies</p>	<p>2:30-3:30 p.m.</p> <p>CONTINUED: Responsible Business Conduct and Minerals Due Diligence</p> <p>3:30-4:00 p.m.</p> <p>RMI Keynote Session "Beacon of Hope from a Tumultuous Past – The Story of Bisie"</p> <p>Moderator: Leah Butler, Vice President, RBA</p> <p>Speaker: Boris Kamstra, Chief Executive Officer, Alphamin Resources Corp.</p>
	<p>4:00-4:30 p.m. Coffee Break</p>		

<p>4:30-5:30 p.m. Concurrent Sessions</p>	<p>FORCED LABOR Ballroom G</p>	<p>ENVIRONMENT Ballroom H</p>	<p>RESPONSIBLE MINERALS INITIATIVE Mission City B2 – B5</p>
	<p>Remediation: Best Practices and Emerging Standards</p> <p>Assurance systems are getting more sophisticated in detecting forced labor in supply chains, however remediation often remains a challenge given a variety of complexities. This panel will explore the progress made across a variety of stakeholders in standards</p>	<p>California Greenin': How the Golden State Became an Environmental Leader</p> <p>Dr. David Vogel will discuss his book, <i>California Greenin'</i>, in which he traces the history of exceptionalism in California's environmental policies, and explores how often unexpected business and community partnerships have shaped the Golden State's</p>	<p>Context and Progress to Date in the Democratic Republic of the Congo (DRC)</p> <p>Assessing 3TG supply chain trends in the DRC Artisanal Mining Sector. What macro-level trends are relevant for stakeholders to be aware of? What are examples of year-over-year progress, systemic shifts in practices, and observations from the field that</p>

development, real-world conditions and practical remediation approaches.

Moderator:

Bob Mitchell, Vice President, RBA

Speakers:

Declan Croucher, Senior Director of Advisory Services, Verité

Pawel Szalus, IRIS Program Manager, International Organization for Migration

Balan Shanmuganathan, Senior Director Sustainability, Seagate Technology

Vidhi Aggarwal, Manager, Labor and Human Rights, Apple

protective policies. The discussion will touch on California's trajectory, as it enters an era of increasingly complex environmental topics, with a new generation of industry leaders.

Speaker:

David Vogel, University of Berkeley Haas Business and Political Science Department

can bring focus to areas of greatest opportunity for sustained progress? Where are further investments and efforts most needed?

Moderator:

Kady Seguin, Interim Technical Director, IMPACT

Speakers:

Lotte Hoex, Researcher, International Peace Information Service

Mickael Daudin, Program Manager, iTSCI

Jonathan Ellermann, Project Director, Better Sourcing Program

Catherine Picard, Ph.D., Chief of Party, Capacity Building for a Responsible Minerals Trade, TetraTech

5:50–6:00 p.m.:

Film Screening Preview: Journey of Gold

Introduction by **Alyssa Newman**, Program Manager, Supply Chain Sustainability, Google

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Thank you to our Exhibitors!

Assent Compliance, BSI Supply Chain Services and Solutions, DNV GL, Ecovadis, ELEVATE, ERM, Intertek, RCS Global Ltd., The Compliance Map, TUV Rheinland, Verisk Maplecroft